PLAN EVALUATION SURVEY

## NEW PLANS 401(K) EMPLOYER CENSUS

Company Name: D	ATE:	
Payroll Company Name:		
Plan Year: Tax I.D. (EIN #):		
Primary 401(k) Contact Person:		
Company Address:		
Phone #: () Fax #: ()		
New Customer     D Existing Customer     Prosper	ctive Customer	
Did your company have a 401(k) Plan during the prior year If yes, was the plan deemed "Top Heavy" during the prior	? 🗖 YES	□ NO
year?	🗖 YES	<b>D</b> NO
Does your company have an existing retirement savings pla	an? 🗖 YES	🗆 NO

Identify Highly-Compensated Employees:

(A) List all company owners with any percentage of ownership in the current and prior year:

%	%
%	%
%	%
	Total Must Equal <u>100</u> %

- (B) List a total of relatives of each owner of more than 5%, who are on the company payroll: Name of Relative: Relationship:
- (C) Employees of the company who earned, with the employer, in excess of \$100,000 in the prior year:

(D) Company Officers and Title:

Report	any	future	changes	of	ownership	to	Slavic	immediately
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SLAVIC MUTUAL FUNDS MANAGEMENT CORPORATION

## PLAN EVALUATION SURVEY (Continued)

 Type of Corporation:

 C=C Corp.
 S=S Corp.
 P=Partner
 SP=Sole Prop.
 LLC=Ltd. Liability Corp.
 501c3 Non Profit

 Date of Incorporation:
 Image: Calendar Year
 Image: Calendar Year
 Image: Calendar Year
 Image: Calendar Year

 Number of Part-Time Employees:
 Image: Corport Calendar Year
 Image: Calendar Year
 Image: Calendar Year

 Number of Employees:
 Image: Corport Calendar Year
 Image: Calendar Year
 Image: Calendar Year

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 Image: Corport Calendar Year
 Image: Calendar Year
 Image: Calendar Year

**Qualifying Questions for Worksite Company Owner or Operator:** (If you answer *yes* to any of the questions below, please provide details.)

Do any owners, spouses, or minor children own part of any other business		
with Employees?	🗖 YES	🗖 NO
Is this company a subsidiary of any other company?	🗖 YES	🗖 NO
Has the Company ever sponsored a Qualified Retirement Plan?	🗖 YES	🗖 NO
If yes, the prior plan number (i.e. 001 002) is:		
Does the Company currently sponsor a Qualified Retirement Plan?	🗖 YES	🗖 NO
Is the Company part of a control group of companies?	🗖 YES	🗖 NO
Does the company have an old plan it wants to merge?	🗖 YES	🗖 NO
Will the company consider participating in the plan by way of a matching		
and/or profit sharing contribution?	🗖 YES	🗖 NO
Have you been part of another employee leasing company retirement plan?	🗖 YES	🗖 NO
Any assets and participants currently in this plan?	🗖 YES	🗖 NO

Comments:

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